

**CERTIFICATE OF MAILING**

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April 5, 2004  
Date of Deposit

Steven P. Shurtz (Reg. No. 31,424)  
Name of Applicant, Assignee or  
Registered Representative

*Steven P. Shurtz*  
Signature

April 5, 2004  
Date of Signature

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APR 12 2004

Technology Center 2600

Our Case No.: 8864/29

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Griffith D. Neal et al.

Serial No.: 10/001,692

Filing Date: October 25, 2001

For: **ENCAPSULATED MINIATURE  
HARD DISC DRIVE**

Examiner: Robert S. Tupper

Group Art Unit No.: 2652

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record. Since each of the listed documents is a U.S. patent publication, it is believed that no copies of the documents are required by 37 C.F.R. § 1.98(a)(2).

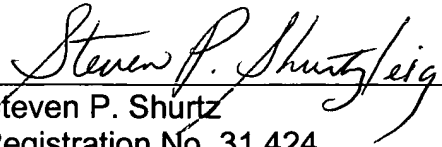
The references now cited are the following:

<b>U.S. PATENT DOCUMENTS</b>		
<b>DOCUMENT NUMBER Number-Kind Code (if known)</b>	<b>Date</b>	<b>Name</b>
4,075,395	02/21/78	Ohnishi
4,202,091	05/13/80	Ohnishi
4,813,194	03/21/89	Dobyns et al.
5,414,574	05/09/95	Boutaghou et al.
6,023,392	02/08/00	Kim
6,034,841	03/07/00	Albrecht et al.
6,278,574 B1	08/21/01	Wakita et al.
6,300,695	10/09/01	Neal
6,362,554	03/26/02	Neal
6,426,847 B1	07/30/02	Dague et al.
6,437,464	08/20/02	Neal
6,496,326 B1	12/17/02	Boutaghou
6,501,616 B1	12/31/02	Neal
2001/0045782	11/29/01	Lieu et al.
2002/0070614 A1	06/13/02	Rehm et al.
2003/0218827 A1	11/27/03	Teo et al.
2002/0135263	09/26/02	Neal
2003/0071382	04/17/03	Neal
2004/0034988	02/26/04	Neal
6,671,721	09/09/03	Neal

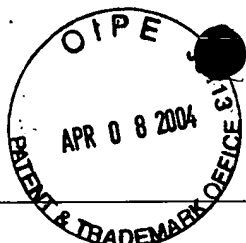
Since this Information Disclosure Statement is being submitted before a first Office Action on the merits, no fee is enclosed. Should any fees be deemed necessary under 37 C.F.R. §§ 1.16 to 1.21 for any reason relating to these materials, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicants respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

  
\_\_\_\_\_  
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FORM PTO-1449	SERIAL NO. 10/001,692	CASE NO. 8864/29
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>	FILING DATE October 25, 2001	GROUP ART UNIT 2652
(use several sheets if necessary)	APPLICANT(S): Griffith D. Neal et al.	

**REFERENCE DESIGNATION U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/SUBCLASS	FILING DATE
	A1	4,075,395	02/21/78	Ohnishi	
	A2	4,202,091	05/13/80	Ohnishi	
	A3	4,813,194	03/21/89	Dobyns et al.	
	A4	5,414,574	05/09/95	Boutaghou et al.	
	A5	6,023,392	02/08/00	Kim	
	A6	6,034,841	03/07/00	Albrecht et al.	
	A7	6,278,574 B1	08/21/01	Wakita et al.	
	A8	6,300,695	10/09/01	Neal	
	A9	6,362,554	03/26/02	Neal	
	A10	6,426,847 B1	07/30/02	Dague et al.	
	A11	6,437,464	08/20/02	Neal	
	A12	6,496,326 B1	12/17/02	Boutaghou	
	A13	6,501,616 B1	12/31/02	Neal	
	A14	2001/0045782	11/29/01	Lieu et al.	
	A15	2002/0070614 A1	06/13/02	Rehm et al.	
	A16	2003/0218827 A1	11/27/03	Teo et al.	
	A17	2002/0135263	09/26/02	Neal	
	A18	2003/0071382	04/17/03	Neal	
	A19	2004/0034988	02/26/04	Neal	
	A20	6,617,721	09/09/03	Neal	

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**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/SUBCLASS	TRANSLATION YES OR NO

**OTHER ART - NON PATENT LITERATURE DOCUMENTS**

EXAMINER INITIAL	(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.